

## Modification of nanoscale thermal oxide films formed on indium phosphide under the influence of tin dioxide

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The kinetic parameters and the limiting stage of the defining process were established by studying the thermal oxidation of  $\text{SnO}_2/\text{InP}$  heterostructures (thickness of  $\text{SnO}_2$  layer  $\sim 50$  nm). It was established that  $\text{SnO}_2$  does not have a chemical stimulating effect on the film growth rate; however, it is effective as a modifier of their structure and properties.  $\text{SnO}_2$  provides the formation of nanoscale films with semiconductor properties.

**Keywords:** indium phosphide, nanoscale films, thermal oxidation, tin dioxide.

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### 1. Introduction

The high transparency and conductivity of tin dioxide films allows them to be used as transparent conductive layers, and the sensitivity of their properties to surface conditions – as resistive sensors in gas sensors [1–3]. However, the application of  $\text{SnO}_2$  as a material for gas sensors is limited by low selectivity due to a large number of active centers on the surface that can interact with the molecules of many gases [4]. On the other hand, doping  $\text{SnO}_2$  with various elements, for example, antimony, allows one to increase the selectivity [5]. The chemical nature of the dopant and its acid-base and redox properties play key roles in determining the response to a detected gas. The selection of a suitable dopant modifier is based on an analysis of the chemical properties of detectable gases and the eventual interactions of the gas with a surface.

Pure stoichiometric  $\text{SnO}_2$  is an insulator, however pure but nonstoichiometric  $\text{SnO}_2$  is oxygen deficient and it is an n-type semiconductor with high conductivity at room temperature [6]. Although there are two stable tetragonal modification oxides, in the tin-oxygen system,  $\text{SnO}$  and  $\text{SnO}_2$ ,  $\text{SnO}$  does not find such widespread application due to its lower thermodynamic stability than  $\text{SnO}_2$  [7].

Due to its combination with InP components, the mixed indium-tin oxide (ITO structure) has several outstanding properties: almost metallic conductivity, good transparency in the visible range of electromagnetic radiation and the simplicity for formation of a nanoscale thin film. The optical and electrical properties are explained by the following: replacing the indium atom, tin supplies an additional electron to the conduction band, and such doping effectively increases the electron concentration [8].

ITO is widely used as transparent conductive coatings in the production of liquid crystal displays, laptop monitors, electroluminescent lamps, electrodes of photoconductive cells, fuel cells (including high-temperature ones), etc. [9, 10].

ITO are most often obtained by sputtering from ceramic targets, but since target regeneration is a rather complex and lengthy process, there are both traditional, reagent processing methods and methods based on cathode and anode processing [11].

It is known that by controlling the content of tin dioxide, it is possible to obtain ITO films with crystalline and amorphous structures and certain electrical and optical properties [12].

The deposition of metals such as Mo and Ni on the ITO structure can improve the mechanical properties and increase its corrosion resistance [13], while the deposition of copper-based conductive pastes on the ITO surface can increase the lifetime of electronic devices [14].

ITO structures are widely used as elements of recording devices. In particular, organic recording devices with an active layer located between two electrodes are becoming more popular. The use of ITO is determined by the low power consumption of these devices and simplicity of its production [15]. Today, there are recording devices, the basis of which is amorphous ITO, allowing extending the lifetime of such devices [16].

The thermodynamic calculation of the corresponding reactions using data [17] shows that  $\text{SnO}_2$  can theoretically transfer oxygen to the InP components, in other words it is a chemical stimulator of the semiconductor thermal oxidation [18]. Good reconcilability of oxide components, in particular, during the formation of ITO films, can serve as the basis for the modifying effect of tin dioxide [19] on the structure and electrophysical properties of thermal oxide films

on InP. Accordingly, the purpose of this study was establishment of the effect of SnO<sub>2</sub> as a possible chemostimulator and modifier of the structure and properties of nanoscale films grown by InP thermal oxidation.

## 2. Experimental

Nanoscale thin films grown on two-sided polished single-crystal (100)-oriented indium phosphide, doped with tin, the concentration of the main charge carriers at 300 K is more than  $5 \cdot 10^{16} \text{ cm}^{-3}$ , intrinsic n-type conductivity, pretreated with an etchant of H<sub>2</sub>SO<sub>4</sub> composition (chemical grade GOST-4204-77, 92.80 %): H<sub>2</sub>O<sub>2</sub> (OSCh TU 6-02-570-750, 56 %): H<sub>2</sub>O = 2:1:1 for 10 min were studied. After repeated washing in distilled water and air-drying the plates, SnO<sub>2</sub>/InP heterostructures were formed in a Covap II vacuum ion sputter by the magnetron sputtering. The sputtering process was performed in a chamber with a pressure of  $2 \cdot 10^{-5} \text{ mm Hg}$ . The source material was Sn with a purity of 99.99 %, and the ion source was O<sub>2</sub> + Ar gases with a purity of 99.99 %. In order to obtain the SnO<sub>2</sub> crystal structure on the InP surface, the semiconductor substrate was heated to 200°C during magnetron sputtering [20]. According to laser ellipsometry (LE), the thickness of the SnO<sub>2</sub> layer on the InP surface was 50 nm.

Thermal oxidation of SnO<sub>2</sub>/InP samples was carried out in an MTP-2M-50-500 resistance heating furnace. The volumetric oxygen flow rate was 30 L/h (the corresponding linear speed is 10 cm/min) at 475, 500, 530, and 550 °C. The total thermal oxidation time was 60 min. The sample was perpendicular to the oxygen flow. The temperature in the reactor was maintained constant ( $\pm 1$  °C) by a TRM-10 PID controller. The thickness of the formed film was determined by laser ellipsometry (LEF-754,  $\lambda = 632.8 \text{ nm}$ , absolute error  $\pm 1 \text{ nm}$ ) and spectral ellipsometry (SE) (Ellipse-1891, operating in a range of wave lengths from 250 to 1100 nm) every 10 minutes before the process time limit of 60 minutes was reached.

The need to use two methods for measuring the film thickness is due to the fact that only their combination allows obtaining the most accurate result [21–23], which is especially important when studying the considered nanoscale systems.

The composition of the films grown by thermal oxidation was determined by the XRD method on an ARL X'TRA X-ray diffractometer. The X-ray diffraction patterns were recorded in the geometry of a sliding beam (with a fixed position of the arm of the X-ray tube). The several samples were studied by this method: SnO<sub>2</sub>/InP (oxidation at 475 °C), SnO<sub>2</sub>/InP (oxidation at 500 °C) and SnO<sub>2</sub>/InP (oxidation at 530 °C). The starting angle for the samples oxidized at 475 and 530 °C was  $-2\theta = 10^\circ$ , the last  $-2\theta = 70^\circ$  scan step  $-0.05^\circ$ . The starting angle for the samples oxidized at 500 °C was  $-2\theta = 20^\circ$ , the last  $-2\theta = 80^\circ$  scan step  $-0.06^\circ$ .

The surface morphology of the samples was examined by scanning tunnelling microscopy (STM) using the complex of nanotechnological equipment UMKA in direct current mode and atomic force microscopy (AFM) on an SolverP47 Pro scanning probe microscope.

According to the value of resistivity, it is possible to draw the corresponding conclusions about the properties of the formed films: whether they have the ohmic conductivity, possess semiconductor or dielectric properties [24]. For this, aluminium contacts were magnetron sputtered on the surface of the synthesized samples in vacuum through a mask with holes with an area of  $5 \cdot 10^{-3} \text{ cm}^2$  and the resistivity  $\rho$  (Ohm-cm) of the formed structures was determined using an Agilent 344 10A universal multimeter. In the process of measuring  $\rho$ , the thickness of the formed film was taken into account.

## 3. Results and discussion

Figure 1 shows kinetic curves (LE) for the thermal oxidation in double logarithmic coordinates of SnO<sub>2</sub>/InP heterostructures at 475 – 550 °C for 60 minutes.

The kinetic data were analysed using the power law equation:

$$d = (k \cdot t)^n, \quad (1)$$

where  $d$  (nm) is the film thickness,  $t$  (min) is the oxidation time,  $n$  is a formal kinetic parameter, and  $k$  ( $\text{nm}^{1/n}/\text{min}$ ) is the rate constant of the process [20].

The value of the effective activation energy (EAE) was determined from the Arrhenius dependence of the averaged process rate constant  $\ln k_{cp} = f(10^3/RT)$ . The exponent  $n$ , considered together with the EAE, gives information about the nature of the determining process and its limiting stage [26].

The calculated value of  $n_{av}$ , 0.05, indicates that in the temperature range 475 – 550 °C the determining process is the mutual diffusion of the film components [18]. The value of the EAE is 48 kJ/mol, and even taking into account an error (of the order of 10 %), it cannot be equal to the EAE of the indium phosphide oxidation ( $\sim 170 \text{ kJ/mol}$ ), for which the limiting stage of the process is a solid-phase reaction limited by diffusion of the substrate components (In) to the outer interface [24]. Such a low value of the EAE of the thermal oxidation process of SnO<sub>2</sub>/InP heterostructures,

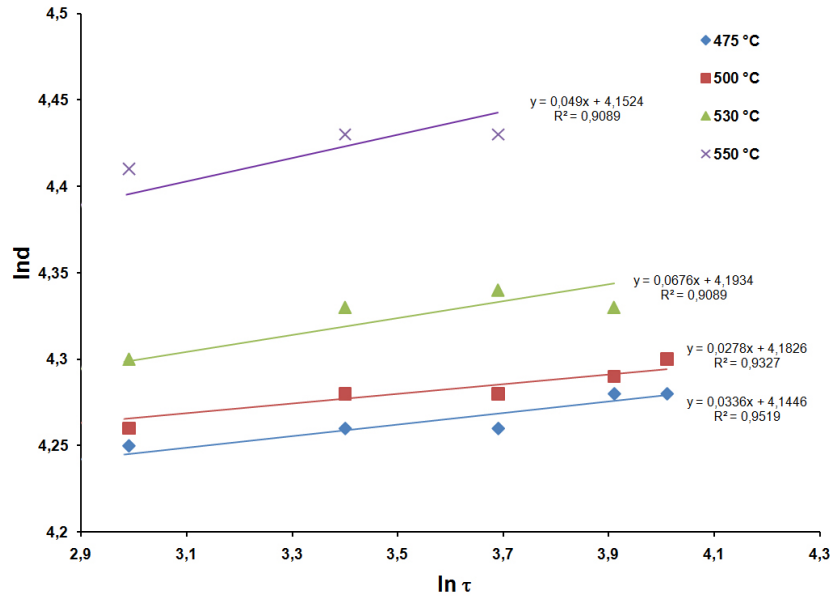


FIG. 1. Loglog plots of kinetic isotherms for the  $\text{SnO}_2/\text{InP}$  heterostructures thermal oxidation process at 475 – 550 °C during 60 minutes

as well as a very small value of  $n_{av}$ , just confirms the “diffusion mixing” as a limiting stage of the whole process (Table 1).

TABLE 1. Kinetic parameters of the thermal oxidation process of the  $\text{SnO}_2/\text{InP}$  heterostructures at 475 – 550 °C

Kinetic parameters		$n \pm \Delta n, \text{nm}^{1/n} \text{min}^{-1}$	EAE, kJ/mol
$T, ^\circ\text{C}$	$\ln k_{cp.}$		
475	91.39	$0.03 \pm 0.023$	48
500	92.23	$0.03 \pm 0.021$	
530	91.56	$0.05 \pm 0.013$	
550	92.47	$0.07 \pm 0.014$	
$n_{av}$	$0.045 \pm 0.018$		

In order to evaluate the chemical stimulating effect of the nanosized  $\text{SnO}_2$  layer on the InP thermal oxidation process, we calculated the values of the relative change in the film thickness  $b$  compared to the reference using the formula (2)

$$b = \frac{d_{\text{SnO}_2/\text{InP}} - d_{\text{SnO}_2/\text{InP}}^*}{\Delta d_{\text{InP}}}, \quad (2)$$

where  $\Delta d_{\text{InP}}$  is the change in the thickness of the oxide film during oxidation of indium phosphide (reference standard),  $d_{\text{SnO}_2/\text{InP}}$  is the value of the thickness of the oxide film during oxidation of  $\text{SnO}_2$  at a certain oxidation time, and  $d_{\text{SnO}_2/\text{InP}}^*$  is the thickness of deposited layer (without oxidation) [25].

However, the film thickness values obtained by the LE method (80 nm), even taking into account the error, do not coincide with the SE data (60 nm). This may be due to the fact that the Cauchy model allows one to obtain only an approximate film thickness [26]. In this regard, the results obtained by laser ellipsometry can be considered more reliable.

At all temperatures (475 – 550 °C) (see Table 2), there is no acceleration of film growth over the entire time. Small values of the corresponding Gibbs free energies of reactions ( $\Delta G = -273 - -211$  kJ/mol) of oxygen transfer from tin dioxide to the substrate components in combination with the data of Table 2, indicate a slowing effect of tin dioxide on the InP oxidation rate and the certain barrier function of the deposited layer, serving as an obstacle for the oxidation of the components of the substrate.

TABLE 2. The values of the relative change of the film thickness formed in the process of thermal oxidation of SnO<sub>2</sub>/InP heterostructures at 475 – 550 °C, calculated by the formula (2)

Relative change of film thickness, times						
$T, ^\circ\text{C}/\tau, \text{min.}$	10	20	30	40	50	60
475	0.18	0.26	0.32	0.3	0.32	0.32
500	0.94	0.76	0.68	0.61	0.58	0.59
530	0.14	0.21	0.26	0.25	0.22	0.38
550	0.48	0.55	0.53	0.48	0.54	0.71

We can now make a conclusion that SnO<sub>2</sub> is not a chemical stimulator of the InP thermal oxidation process.

According to the results of X-ray phase analysis (Fig. 2), we can conclude that the thermal oxidation of SnO<sub>2</sub>/InP heterostructures at 475 – 530 °C, metal tin does not form, as in the process of a transit interaction.

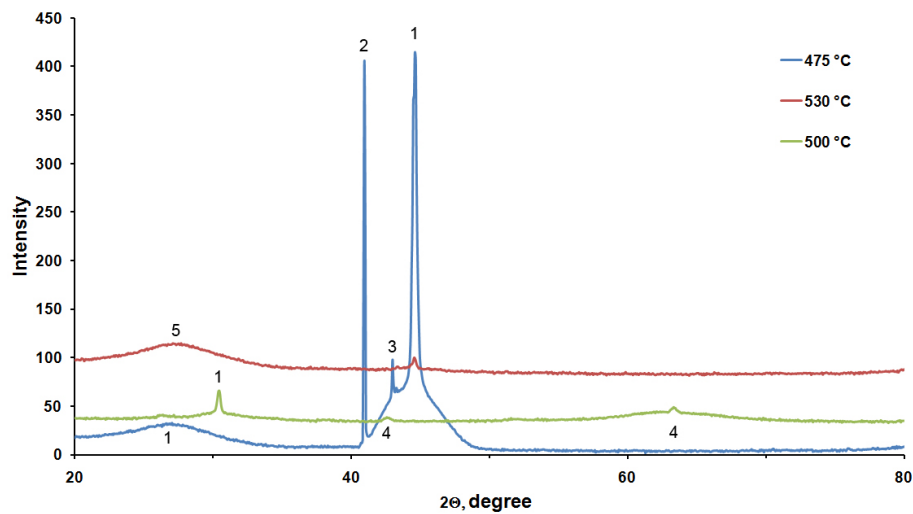


FIG. 2. X-ray diffraction patterns of the SnO<sub>2</sub>/InP heterostructures after thermal oxidation for 60 minutes at 475 – 530 °C, where 1 – In(PO<sub>3</sub>)<sub>3</sub>, 2 – P<sub>2</sub>O<sub>5</sub>, 3 – In<sub>2</sub>O<sub>3</sub>, 4 – SnO<sub>2</sub>, 5 – Sn<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>

Based on the presented diffractograms, we can conclude that the thermal oxidation of SnO<sub>2</sub>/InP heterostructures requires a relatively high temperature, from which SnO<sub>2</sub> will interact with the substrate components. The presence of peaks corresponding to the compound Sn<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> indicates the interaction of the SnO<sub>2</sub> layer deposited on the surface with the oxidation products of the substrate components, in particular phosphorus, and, therefore, a change in the composition of the film and its surface. Thus, we can speak about the modifying properties of SnO<sub>2</sub>. In this case, it is necessary to take into account the fact that the modification of nanoscale films with a thickness not exceeding 100 nm can ultimately lead to a noticeable change in the surface topography. For a number of practical applications, this is unacceptable, therefore, the study of the surface morphology of nanoscale films formed by the thermal oxidation of SnO<sub>2</sub>/InP heterostructures is a necessary task.

Films, formed during thermal oxidation of SnO<sub>2</sub>/InP heterostructures, have a fairly smooth surface (Figs. 3 and 4) at all oxidation temperatures, which is confirmed by AFM and STM methods. A feature of the InP surface is

the presence of vacancy defects and a residual oxide phase, which leads to the formation of active centers. The growth of an oxide film during the oxidation of indium phosphide without chemical stimulators begins at these active centers [29].

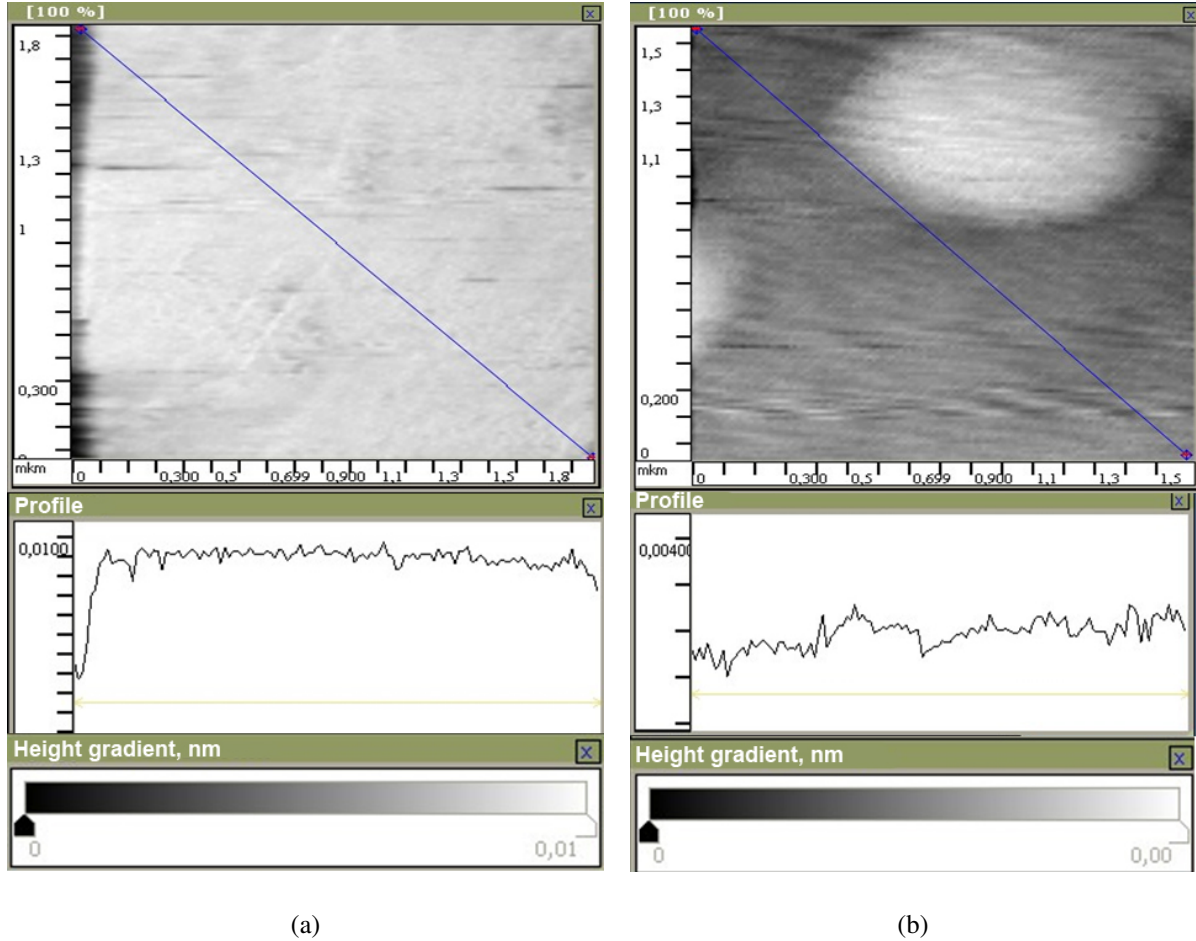


FIG. 3. STM images of  $\text{SnO}_2/\text{InP}$  samples and their surface profile after oxidation at 500 (a) and at 550 °C (b) for 60 minutes. The scanning area was  $1.8 \times 1.8$  and  $1.5 \times 1.5 \mu\text{m}^2$ , respectively

According to the AFM data, the average roughness of the film is  $S_a = 1.065 \text{ nm}$ , that also confirms the smoothness of the films formed during the thermal oxidation process.

The resistivity of pure film  $\text{SnO}_2$  is  $3.4 \cdot 10^3 \text{ Ohm}\cdot\text{cm}$  [30]. The investigations of the electrophysical characteristics showed that films synthesized as a result of thermal oxidation of  $\text{SnO}_2/\text{InP}$  heterostructures have a higher electrical resistivity ( $\rho = 9 \cdot 10^6 \text{ Ohm}\cdot\text{cm}$ ), indicating an improvement electrophysical properties. The semiconductor properties can be explained by the fact that no metal indium is released during the oxidation of  $\text{SnO}_2/\text{InP}$  heterostructures;  $\text{SnO}_2$  promotes its chemical binding to phosphorus, as a result the formation of the corresponding phosphates occur. As a result, the suppression of ohmic conductivity was observed.

#### 4. Conclusion

Thus, it was found that  $\text{SnO}_2$  is not a chemical stimulator of the  $\text{InP}$  thermal oxidation process. This was confirmed by the LE method that correlate with the data of thermodynamic calculations of the corresponding reactions. At the same time,  $\text{SnO}_2$  has a modifying effect on the composition and properties of nanoscale (thickness not more than 80 – 90 nm) films synthesized by thermal oxidation, as evidenced by the presence of  $\text{Sn}_3(\text{PO}_4)_2$  (XRD) phases in the films. When  $\text{InP}$  is thermally oxidized, conductive films, enriched with metallic indium and characterized by a very developed surface relief are formed. At the same time, during the oxidation of  $\text{SnO}_2/\text{InP}$  heterostructures, semiconductor films are formed (the specific electrical resistance is  $9 \cdot 10^6 \text{ Ohm}\cdot\text{cm}$ ), the average roughness of which is 1.065 nm.

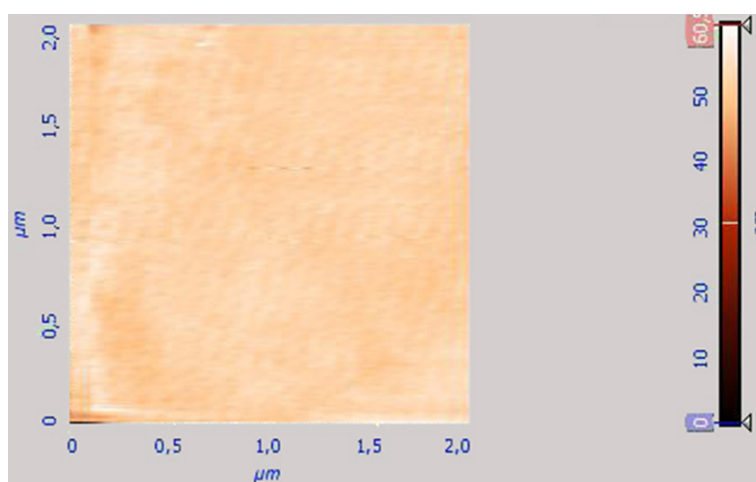


FIG. 4. FM image of the  $\text{SnO}_2/\text{InP}$  heterostructure after thermal oxidation for 60 min at 530 °C. The scan area was  $2 \times 2 \mu\text{m}^2$

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